

Sustainable Westchester COMMUNITY REQUEST FOR INFORMATION ("RFI")

HeatSmart Westchester 2020-2

Sustainable Westchester invites its members to submit applications to be considered for the next HeatSmart Westchester campaign to promote clean heating and cooling systems (geothermal technology and cold-climate air source heat pumps) and energy efficiency to homeowners.

Key Information for Submitting Request for Information		
Issue Date	Monday, July 27, 2020	
Qualified Applicants	Westchester County Cities, Towns or Villages and / or Coalitions of Towns and Villages that are members of Sustainable Westchester. Applicants must be located in the area affected by ConEdison's natural gas moratorium (see Section Two, A).	
Due Date	Tuesday, September 8, 2020 – EXTENDED to September 21, 2020	
Submission Instructions	Email application to Lauren Brois at Lauren@SustainableWestchester.org with subject line written as: "HeatSmart Westchester [NAME OF COMMUNITY] RFI." The application should be sent as two attachments as follows: 1) "ATT A [NAME OF COMMUNITY]" should contain Attachment A, the letter from the chief elected official (if for a consortium, these documents for the consortium communities should all be scanned together). 2) "ATT B [NAME OF COMMUNITY]" containing Attachment B (a single form even if for a consortium).	
RFI Question Instructions	Questions should be directed to Lauren Brois at Lauren@SustainableWestchester.org or 914-242-4725, ext. 122	

We welcome the opportunity to answer questions and assist with
your submission.

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Attachment A: Community Application Form Attachment B: Community Profile Form

Program Summary

Sustainable Westchester, a leading inter-municipal organization delivering innovative sustainability aggregation programs to its forty-four municipal members, has assembled a team of clean energy experts and implementation partners for the HeatSmart Westchester Program. Through the HeatSmart Westchester Program, Sustainable Westchester will assist local communities in offering high quality, competitively priced Clean Heating and Cooling (CH&C) installations from vetted contractors, and energy efficiency solutions to homeowners within the chosen communities. They may also provide access to complementary clean energy solutions including community solar.

Heating and cooling energy expenditures are responsible for one third of the carbon emissions in Westchester and New York State. The options offered to homeowners in HeatSmart Westchester, discussed in detail further in this RFI, provide a proven way for individuals and communities as a whole to improve local sustainability, save on heating and cooling costs, gain positive returns on investment with incentive programs and tax credits, and create a beneficial impact to air quality, family and public health, and the environment.

Sustainable Westchester requests submissions from a Westchester community (including cities, towns, and villages) or a coalition of towns and / or villages to participate (one joint application may be submitted on behalf of the applying coalition) in this next HeatSmart Westchester Campaign, scheduled to launch in September 2020.

Once the HeatSmart Westchester Community (either one city, town, or village, or a coalition of towns and / or villages) is selected, Sustainable Westchester will begin the process of selecting CH&C installers through a separate Request for Proposal ("RFP") process. The selected CH&C installers will provide, at a minimum, competitive pricing, home CH&C assessments, collaboration in community outreach, and installation services. The selected HeatSmart Westchester Community and selected installers, with support from Sustainable Westchester, will develop and implement an approximately 24-week community outreach campaign beginning in September 2020, with the goal of dramatically increasing the number of existing CH&C installations in the HeatSmart Westchester Community.

A successful HeatSmart Westchester Campaign is dependent on committed and well-connected volunteers and community leaders, particularly in identifying creative and effective ways to get the word out to their community about HeatSmart Westchester. Successful teams will work collaboratively on a variety of tailored outreach approaches. Their objective is to encourage as many homeowners as possible to consider installing CH&C systems, especially those who may not have previously considered it, and increasing familiarity with CH&C technology and energy efficiency measures in general to displace fossil fuel-based heating and cooling systems.

The objective of HeatSmart Westchester is to significantly ramp up the rate of CH&C system adoption and energy efficiency in Westchester, by creating and servicing a demand for clean energy options in home heating and cooling. HeatSmart Westchester will accomplish this by encouraging public and positive conversations about CH&C adoption throughout our region, and by demonstrating CH&C success stories. Communities interested in HeatSmart Westchester should also consider how they might leverage the CH&C outreach to further other local clean energy efforts.

The success of the Solarize Program, Energize Program, and similar programs inspired the HeatSmart Westchester Program. The first HeatSmart Westchester campaigns in Bedford, Pound Ridge, Lewisboro, Dobbs Ferry and Hastings were offered from early March of 2019 to April 2020. Over the 13 months, the campaigns resulted in 36 installations of energy efficiency upgrades and clean heating and cooling equipment. For examples of other community-based CH&C programs, see the HeatSmart Tompkins program in Tompkins County, NY and HeatSmart Mass in various communities within Massachusetts.

SECTION ONE – Detailed Opportunity Summary

A. Program Goals and Overview

i. Program Goals

HeatSmart Westchester is a program aimed at making the CH&C systems more accessible to homeowners across the County, as well as to demonstrate the viability of the HeatSmart Westchester Program to be replicated in other regions of New York State. HeatSmart Westchester will:

- A. Substantially increase the number of CH&C installations in the selected community or coalition of communities during the outreach campaign;
- B. Lower barriers to adoption of CH&C systems and installations through careful selection of CH&C installer partners and community endorsement of the HeatSmart Westchester Program;
- C. Provide education and outreach about benefits of CH&C technology to support the continued increase in adoption of CH&C throughout Westchester in a way that can be sustained beyond the timeframe of the HeatSmart Westchester campaigns.

HeatSmart Westchester will promote two prime heating and cooling technologies, as well as energy efficient building envelope improvements and heat pump water heaters, as detailed below:

- 1. **Cold-Climate Air Source Heat Pump systems** (as defined by the Northeast Energy Efficiency Partnership), which can operate down to temperatures of five degrees Fahrenheit while also maintaining an efficiency factor of 1.75 or greater. These will include:
 - a. Cold-Climate Air Source Heat Pump systems that distribute the heating and cooling output through an air duct distribution system.
 - b. Ductless Mini-Split Cold-Climate Air Source Heat Pump systems that utilize the same two main components as central Cold-Climate Air Source Heat Pumps: an outdoor compressor / condenser and an indoor air-handling unit.
- Ground Source Heat Pump systems for space heating & cooling, and water heating. An indoor heat pump unit and a heat exchanging ground loop buried underground transfer heat between the ground and the building (these systems are also known as geothermal installations).
- 3. Building Envelope Improvements: NYSERDA Programs (including Comfort Home and Empower) will address air sealing, insulation, and upgraded heating mechanicals through a comprehensive home energy assessment done by a certified contractor. These measures address tightening the building envelope with measures including, but not limited to, adding dense pack insulation, blown cellulose, and mechanical upgrades. These measures will ensure that the building envelope, distribution system, and existing heating and cooling systems are taken into account so that the CH&C technology is appropriately sized.
- 4. Heat Pump Water Heaters: Water heating is typically the second-largest energy expense in a home; it can cost the average household approximately \$400–\$600 each year. Heat pump water heaters are up to three times more energy efficient than conventional electric resistance water heaters since they use electricity to move heat from one place to another instead of generating heat directly. Properly maintaining a water heater and choosing high-efficiency equipment can help homeowners save energy, improve the comfort of their homes, and contribute to a cleaner environment.

ii. Barriers HeatSmart Westchester is Designed to Overcome

The HeatSmart Westchester Program is designed to overcome common barriers that homeowners currently face in transitioning to CH&C systems:

A) Lack of CH&C / Energy Efficiency Knowledge

- CH&C technology is unfamiliar to many homeowners and HeatSmart Westchester aims to make the technology, benefits, pricing, and installation process accessible and simple to understand.
- For many people, the most complicated aspect of transitioning to CH&C is figuring
 out how to begin a process that is quite unfamiliar to them and their fellow
 community members. HeatSmart Westchester provides several easy starting
 points: check out the online resources, come to an event or webinar, and perform
 a simple home analysis online.

 Having several highly vetted installers reduces uncertainty about how to choose an installer and provides a sense of certainty that the installer is both well-qualified and offers transparent, competitive pricing.

B) Trust

- An experienced team coordinates the HeatSmart Westchester Campaign. Within each community, a "Core Team" of dedicated community volunteers champions the program.
- HeatSmart Westchester Core Team outreach is designed to (1) provide residents
 with safe and accessible ways to learn about CH&C systems from people they
 know in their communities, (2) meet neighbors who also want to learn about CH&C
 technology, and (3) take the next step when they are ready (i.e., attending a
 workshop, discussing with the selected installer, and signing a contract to install a
 CH&C system).
- HeatSmart Westchester is designed to be as transparent as possible with all relevant information, including detailed campaign information, available through the Sustainable Westchester website.

C) Cost

- HeatSmart Westchester outreach helps reduce the typical cost for a CH&C installer to acquire customers, and can lead to numerous installation jobs for selected installers. The concentrated focus on a narrow geographical area for the limited period of the campaign produces efficiencies for the installers in travel and staff time. These factors help the selected HeatSmart Westchester installers to offer competitive pricing for quality installations. Through the HeatSmart Westchester Program, we are helping deliver lower cost CH&C and energy efficiency options with transparent pricing.
- Information about financing CH&C will be available via HeatSmart Westchester online resources.

E) Other

 Standardize equipment specification and design processes across the selected community.

iii. HeatSmart Westchester Campaign Process:

In this HeatSmart Westchester Campaign, the HeatSmart Westchester Core Team of community volunteers will follow a four-step process:

- 1. Apply to be a HeatSmart Westchester Community.
- 2. Prepare for Campaign Launch.
- 3. Launch and Run an Outreach Campaign.

4. Celebrate and Share Results.

Step One: Apply to be a HeatSmart Westchester Community

Respond to this Request for Information ("RFI") to be considered to participate in a HeatSmart Westchester campaign. Sustainable Westchester will select one HeatSmart Westchester Community (either one city, town, or village, or a coalition of towns / villages). HeatSmart Westchester Campaign Team members who live in communities applying to be part of the HeatSmart Westchester Campaign will not be part of the selection process. A threshold requirement for all communities or coalitions of communities applicants is that they must be located in the area affected by ConEdison's natural gas moratorium. Preference will given to communities or coalitions of communities who have been previously involved in EITHER (1) a Solarize campaign, OR (2) an Energize campaign, OR (3) have demonstrated a successful sustainability-focused campaign.

Step Two: Prepare for Campaign Launch

The Core Team of community volunteers will, with the assistance of Sustainable Westchester, be responsible for (1) becoming familiar with the basics of the offered CH&C technology along with its environmental and financial benefits, and (2) participating in the campaign-planning and implementation process with the HeatSmart Westchester Campaign Team and selected installers.

How are installers selected?

Sustainable Westchester will issue a Request for Proposal ("RFP") for the CH&C installers. Sustainable Westchester will collect RFP responses from installers and facilitate a selection process, with participation by the selected community and with significant support from NYSERDA.

The selected CH&C installers will enter into an agreement with Sustainable Westchester. There will be no official, written agreement between the HeatSmart Westchester Community and selected installers.

Step Three: Launch and Run Outreach Campaign

The Core Team of community volunteers will work with Sustainable Westchester and the Technical Advisors contracted by NYSERDA, to develop and implement a strategy for collaboration and outreach during the approximately 20-week program. Activities include the following:

- Select and share a date / time / location (in person or on the web) for a HeatSmart Westchester Launch Event;
- <u>Pre-Launch Meeting</u> facilitated by Sustainable Westchester, the Core Team of community volunteers, and selected installers to discuss the launch event and plan the first month of outreach;
- <u>Launch Event</u> presentation and Q & A to kick off the campaign;

- Outreach aimed at reaching as many homeowners as possible, especially those
 who may not have considered CH&C before, and encouraging them to learn
 more and schedule a home assessment;
- Publicize the Program Deadline Date;
- Close-Out Meeting to reflect on the process and consider next steps; and
- <u>Follow-Up Survey</u> of volunteers, leads, and participants to inform future HeatSmart Westchester campaigns.

The HeatSmart Westchester Program is meant to catalyze the installation of residential CH&C in the selected HeatSmart Westchester Community. Any homeowner in the participating community can receive a quote based on the equipment and pricing outlined in the installer's RFP response. Participants must sign a contract with one of the selected installers by the program deadline in order to participate in the HeatSmart Westchester Campaign.

Homeowners within the HeatSmart Westchester Community are free to seek bids and work with other installers at any point in the program. This is an important message for the core volunteer team to share.

It's important that the Core Team community volunteers to be prepared to go the distance and maintain a strong outreach presence during the entire campaign. Sustainable Westchester will support the Core Team with bi-weekly phone calls to strategize about potential outreach opportunities, help draft media advisories or press releases and ensure that the community page of the supported online resources has upcoming events, testimonials and other information posted.

Step Four: Celebrate and Share Results

Sustainable Westchester will help the HeatSmart Westchester Community gain well-deserved recognition for their results. After announcing and celebrating their CH&C successes, Sustainable Westchester will help the Core Team of community volunteers to identify opportunities to leverage the momentum from the HeatSmart Westchester Campaign efforts to support other sustainability initiatives.

iv. Program Partners and Roles

Community Roles:

- Core Team A team of 4-6 community volunteers that serve as the primary organizers and drivers for the HeatSmart Westchester Campaign. The Core Team can also include community elected officials or staff members.
- Project Lead One of the Core Team members should be selected as the primary contact
 for the Core Team. His or her contact information may appear in the HeatSmart
 Westchester Campaign web resources. The Project Lead will also be the main point of
 contact for the HeatSmart Westchester Campaign Team and the selected installers
 (though most communication will involve the entire Core Team). The Project Lead can be
 a community elected official, staff member, or volunteer.

- Community Volunteers Not all volunteers need to serve on the Core Team. The Core Team is encouraged to recruit additional volunteers (who would not be part of the Core Team) that can assist in the HeatSmart Westchester Campaign with outreach activities. Some residents will be happy to volunteer to support specific outreach activities such as arranging an article in their church or synagogue newsletter, allowing yard signs to be placed at their home or business, or inviting a speaker to come to group meeting to explain the HeatSmart Westchester Campaign.
- **Municipal Energy Committee Members** If your community has an energy, sustainability, or similar committee, consider focusing some of its efforts and resources on the HeatSmart Westchester Campaign for the duration of the outreach period.
- Local Civic Groups The Core Team will need to leverage existing networks and groups to get the word out about the HeatSmart Westchester Campaign. Consider inviting representatives from local groups (e.g., PTA, Lions Club, or religious groups) to join the Core Team or to stay in the loop and report to their networks.
- Individuals with Interest or Expertise in CH&C and Energy Efficiency Are there any CH&C energy professionals in your town? These folks may not have a lot of time to spare but might still be interested in helping out.
- **Multiple Communities** If you are planning to partner with another municipality on HeatSmart Westchester, be sure to include volunteers from both municipalities on the Core Team.

Installers

The selected installers will offer transparent and competitive pricing, collaborate with community volunteers on outreach and lead tracking throughout the program, and provide HeatSmart Westchester customers with a turnkey inquiry-to-installation process that will make them want to encourage their neighbors to transition to CH&C too. Included in the RFP that Installers respond to will be the requirement that selected Installers pay a success fee for installations completed to create a sustainable HeatSmart Westchester program, in the same way that Solarize installers did in 2017 and 2018.

The following chart outlines specific partner roles and responsibilities:

	Sustainable Westchester	Core Team	Installers
Securing HeatSmart Westchester Partners	 Select HeatSmart Westchester communities. Release RFP to installers; assist / coordinate the installer selection process. 	 Seek partnerships with community organizations to help host events and spread the word. Find hosts for CH&C open houses and other events. 	Submit competitive RFP response.

	Work with communities to identify strategies for engaging volunteers.		
Providing Customers with Competitive Pricing	Provide online resources and handouts detailing available incentives and financing options.	Lead community outreach to help the HeatSmart Westchester campaign promote the competitively priced CH&C solutions offered by selected installers.	 Provide site assessments. Offer competitive pricing. Help customers understand and apply for available incentives. Assist customers in assessing purchase / financing options.
Marketing / Outreach	 Manage online resources for program information. Provide planning and outreach. templates for volunteers. Facilitate initial outreach planning among project partners. Attend and support key outreach events for homeowners. 	 Organize / mobilize community networks and utilize a broad range of tactics to get the word out. Organize and host outreach events and table at existing community events. Send regular HeatSmart Westchester updates to community contacts. 	 Participate in outreach planning. Participate in community outreach events. Supplement community outreach through cost-effective marketing (e.g. banners, mailings, yard signs, etc.).
Education	 Provide online resources on CH&C and energy efficiency. Help orient volunteers with basic information about CH&C and energy efficiency. 	 Answer community questions about the HeatSmart Westchester Program. Answer basic CH&C energy questions and direct residents to available resources. 	 Give presentations at HeatSmart Westchester events. Answer technical questions from volunteers / residents.

Web / Media	 Provide information about the HeatSmart Westchester online. Communications and media strategy / support. Manage attention from regional media outlets. 	 Assist in providing content (including photos and stories) for HeatSmart Westchester online resources and media outreach. Encourage and cooperate in local media coverage (print, digital media, and TV). Submit "letters to the editor" to local newspapers. 	 Provide relevant information for the HeatSmart Westchester online resources. Cooperate with partners to provide content (e.g., photos, stories) and accommodate reporters.
Leads / Installs	Manage an online form for potential customers to sign up for HeatSmart Westchester site assessments and with automated leads sent to the appropriate installer.	Participate in occasional outreach to leads as needed.	 Provide excellent customer service through customer inquiries, site assessments, system design, and turnkey installation.
Administration	Organize bi-weekly check-in calls.	Participate in bi- weekly check-in calls.	Participate in bi- weekly check-in calls.
Tracking	 Receive and manage biweekly reports from installers. Track leads generated from web form(s). Ensure all partners have access to up-to-date contacts. 	 Gather and maintain a list of residents who have expressed interest in the program through events. Track residents who request / receive information about other energy opportunities beyond CH&C. 	 Track leads and contracts. Provide bi-weekly reports to Sustainable Westchester.

B. Projected Timeline

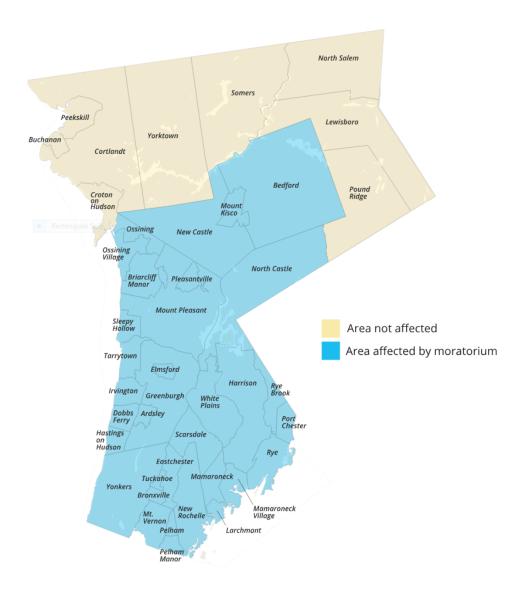
HeatSmart Westchester Timeline (Dates Are Likely to Vary From Below)			
RFI for Communities: Released	Monday, July 27, 2020		
RFI for Communities: Due	Tuesday, September 8, 2020 by 5:00 PM Monday, September 21, 2020 by 5:00 PM		
Communities Selected and Applicants Notified.	Friday, September 11, 2020 Wednesday, September 21, 2020		
RFP for Installers Issued	Monday, August 3, 2020 August 24, 2020		
RFP for Installers: RFP Responses Due	Thursday, September 3, 2020 September 23, 2020		
Interviews with Installers	Week of September 21 Early October		
Installer Selected	Early October		
The Core Team and Selected Installers meeting facilitated by the HeatSmart Westchester Campaign Team (get to know the Installers and plan the first month of outreach efforts)	Early October		
HeatSmart Westchester Launch Events	Mid-October		
End Date of the HeatSmart Westchester Campaign	March 2021 April 2021		

SECTION TWO – Application Process

A. Eligible Applicants

A threshold requirement for applicants in this HeatSmart Westchester Campaign is that they must have been previously involved in EITHER (1) a Solarize campaign, OR (2) an

Energize campaign OR (3) have demonstrated a successful sustainability-focused campaign. Applicants must be located in the area affected by ConEdison's natural gas moratorium (see map below) or partner with a community located within ConEdison's natural gas moratorium.



B. Application Deadline

Responses to this RFI must follow the instructions listed on page one (1) of this RFI and in the above Timeline to be considered.

C. Guidelines for Cities

The HeatSmart Westchester Program depends on community engagement and will be more successful in communities with strong social networks and community identification. Campaigns will likely have better results if focused on a particular neighborhood (or grouping of

neighborhoods) or quadrant. We encourage interested cities, particularly large cities, to consider this approach and to reach out to the HeatSmart Westchester Campaign Team to discuss it.

D. Guidelines for Communities Interested in Applying as a Coalition

Towns or Villages interested in forming a coalition with one or more neighboring Towns or Villages can indicate such interest in their application, with the following considerations in mind:

- No more than three municipalities may apply jointly for this HeatSmart Westchester Campaign.
- Cities may not join or form coalitions.

SECTION THREE – Submission Requirements

A. Submission Requirements

□ <u>Letter (suggested two pages) from chief elected official</u>, containing the following (if part of a coalition, required of each coalition participant):

- Statement of commitment to the HeatSmart Westchester Program for the duration of the HeatSmart Westchester Campaign.
- Brief description of how the municipality will support the HeatSmart Westchester Campaign (e.g., communicating via municipal e-mail list, allowing placement of banners, flyers, etc.)
- Articulate why your community is well positioned to take full advantage of the opportunities presented by the HeatSmart Westchester Program.
- Describe your community's commitment to sustainability and CH&C and energy efficiency.
- If your community wishes to enter into a coalition with a neighboring municipality, please clearly indicate which municipalities will be partnering.
- Identify a primary program contact (the Project Lead) for the HeatSmart Westchester Campaign in your community and if part of a coalition, also specify the primary Project Lead for the overall coalition. Include an explanation of this individual's interest and commitment, along with any relevant experience in community outreach.

□ Completed Attachment A - Applicant Community Contact and Authorized Signature Form (if applying with another municipality, a single form for the combined application – information about all participating municipalities should be included on the form):

- Include names of Core Team contacts and information about their background.
- Sign the Authorized Signature Section.

□ Completed Attachment B - Community Information Form (if applying with another municipality, a single form for the combined application – information about all participating municipalities should be included on the form):

- List potential outreach activities in your community to promote the HeatSmart Westchester Program.
- Provide list of partner organizations who will help communicate about the HeatSmart Westchester Program and communication outlets that can be leveraged.

B. Evaluation Criteria

Community Commitment to Clean Energy and Sustainability

- Record of or clear articulated interest in expanding CH&C, energy efficiency and renewable energy initiatives in the community, and intent to leverage the HeatSmart Westchester Program in working toward a longer-term goal of promoting community sustainability.
- Success of the Solarize and Energize programs in the community. Provide the number
 of completed projects for both the Solarize and Energize campaigns. Alternatively, a
 description of another sustainability-focused campaign and summary of results can also
 be considered.

Project Leadership

- Ability of designated Project Lead to champion the HeatSmart Westchester Campaign and commitment of the Core Team. Examples of relevant, successful community projects should be referenced.
- Viable plan to manage the Core Team, along with the delegation of the various tasks and responsibilities. Be clear as to what team members will take leadership over specific aspects of the HeatSmart Westchester Campaign (managing Core Team duties and members, performing outreach, connecting with community networks and other resources, etc.).
- <u>Commitment of elected officials</u> and other key community leaders to promote the HeatSmart Westchester Campaign.

Unique Qualities, Resources and Communication Channels

- <u>Strong community communication channels</u> such as a local paper, digital media, community website, community-wide e-mails, social media and other resources to spread the word about the HeatSmart Westchester Program.
- <u>Ideas and plans for effective outreach</u> and active partnerships within the community.

Building and Demographic Factors

- The HeatSmart Westchester Campaign Team will assess the following building and demographic factors in the community to more accurately gauge the demand for CH&C / energy efficiency and potential success of a HeatSmart Westchester Campaign in your specific community:
 - a. Heating fuel type used in your community displacing high cost heating fuel (heating oil and propane) results in greater customer savings from CH&C installations
 - b. Percentage of single-family and owner-occupied homes.

c. Existing technology deployment (e.g. ASHP, GSHP, weatherization through Home Performance with ENERGY STAR, electric vehicles, solar installations).

Scoring of Key Criteria

Scoring: low = 1 point, medium = 2 points and high = 3 points.

Criteria marked with an * are priorities and the points for these attributes are doubled.

Topic	Criteria	Score
Community Commitment to Clean Energy and Sustainability	Record of or clear articulated interest in expanding CH&C, energy efficiency and renewable energy initiatives in the community, and intent to leverage the HeatSmart Westchester Program in working toward a longer-term goal of promoting community sustainability.	
	Success of past Solarize and or Energize campaign(s) or other successful sustainability-focused campaigns. *	
Project Leadership	Ability of designated Project Lead to champion the HeatSmart Westchester Campaign. Commitment of the Core Team. Viable plan to manage the Core Team, along with the delegation of the various tasks and responsibilities. *	
	Commitment of elected officials. *	
Unique Qualities, Resources, and Communication Channels	Strong community communication channels and identified partners and plans for effective outreach.*	
Building and Demographic Factors	Assessment of building and demographic factors.* (Data to be obtained and analyzed by HeatSmart Westchester Campaign Team)	
TOTAL SCORE		